

06/21/00

JC854 U.S. PTO

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. RCA 90,038

First Inventor or Application Identifier Duggan

Title SPHERICAL MOUNTING SYSTEM FOR THREE AXIS  
ADJUSTMENT OF A LIGHT PROJECTOR ASSEMBLY IN A

Express Mail Label No. ET479513314US

PROJECTOR  
ASSEMBLY

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Specification [Total Pages 11]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
4. Oath or Declaration [Total Pages 15]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

**NOTE FOR INVENTORS:** IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY  
 FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.37), EXCEPT  
 IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.38).

## ADDRESS TO:

 Assistant Commissioner for Patents  
 Box Patent Application  
 Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney  
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
13. ☐ Small Entity Statement(s) ☐ Statement filed in prior application.  
(PTO/SB/09-12) Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
15. ☐ Other: \_\_\_\_\_

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. \_\_\_\_\_

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**For CONTINUATION or DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☐ Correspondence address below

Name	Joseph S. Tripoli			
	Thomson Multimedia Licensing Inc.			
Address	Patent Operation			
	Two Independence Way, P. O. Box 5312			
City	Princeton	State	NJ	Zip Code 08543-5312
Country	USA	Telephone	609/734- 9744	Fax 609/734-9700

Name (Print/Type)	Joseph J. Kolodka	Registration No. (Attorney/Agent)	39,731
Signature	<i>Joseph J. Kolodka</i>	Date	6-21-00

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

06/21/00

JC833 U.S. PTO  
09/598667

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement,  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 726

## Complete if Known

Application Number  
Filing Date  
First Named Inventor Duggan  
Examiner Name  
Group / Art Unit  
Attorney Docket No. RCA 90,038

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 07-0832

Deposit Account Name THOMSON multimedia  
Licensing Inc.

- ☒ Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed:  
☐ Check ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		101	690	Utility filing fee	\$690
		106	310	Design filing fee	
		107	480	Plant filing fee	
		108	690	Reissue filing fee	
		114	150	Provisional filing fee	

SUBTOTAL (1) (\$690

### 2. EXTRA CLAIM FEES

Total Claims 22 - 20\*\* = 2 x 18 = \$36  
Independent Claims 2 - 3\*\* = 0 x 0 = 0  
Multiple Dependent 0 = 0

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		103	18	Claims in excess of 20	
		102	78	Independent claims in excess of 3	
		104	260	Multiple dependent claim, if not paid	
		109	78	** Reissue independent claims over original patent	
		110	18	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$36

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
		105	130	205 65 Surcharge - late filing fee or oath	
		127	50	227 25 Surcharge - late provisional filing fee or cover sheet.	
		139	130	139 130 Non-English specification	
		147	2,520	147 2,520 For filing a request for reexamination	
		112	920*	112 920* Requesting publication of SIR prior to Examiner action	
		113	1,840*	113 1,840* Requesting publication of SIR after Examiner action	
		115	110	215 55 Extension for reply within first month	
		116	380	216 190 Extension for reply within second month	
		117	870	217 435 Extension for reply within third month	
		118	1,360	218 680 Extension for reply within fourth month	
		128	1,850	228 925 Extension for reply within fifth month	
		119	300	219 150 Notice of Appeal	
		120	300	220 150 Filing a brief in support of an appeal	
		121	260	221 130 Request for oral hearing	
		138	1,510	138 1,510 Petition to institute a public use proceeding	
		140	110	240 55 Petition to revive - unavoidable	
		141	1,210	241 605 Petition to revive - unintentional	
		142	1,210	242 605 Utility issue fee (or reissue)	
		143	430	243 215 Design issue fee	
		144	580	244 290 Plant issue fee	
		122	130	122 130 Petitions to the Commissioner	
		123	50	123 50 Petitions related to provisional applications	
		128	240	128 240 Submission of Information Disclosure Stmt	
		581	40	581 40 Recording each patent assignment per property (times number of properties)	
		148	690	248 345 Filing a submission after final rejection (37 CFR § 1.129(a))	
		149	690	249 345 For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

## SUBMITTED BY

Name (Print/Type)	Registration No. (Attorney/Agent)	Telephone
Joseph J. Kolodka	39,731	609-734-9744
Signature	Date	6-21-00

## WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE


Applicant : Scott Joseph Duggan and Darin Bradley Ritter  
Filed : Herewith  
For : SPHERICAL MOUNTING SYSTEM FOR THREE AXIS  
ADJUSTMENT OF A LIGHT PROJECTOR ASSEMBLY  
IN A PROJECTION TELEVISION

1c833 U.S. PTO  
09/598662  
06/21/00  
1030-01

CERTIFICATE OF MAILING BY  
EXPRESS MAIL PURSUANT TO 37 CFR 1.10

Express Mail Label Number EL479513314US  
Date of Deposit: June 21, 2000

I hereby certify that I am depositing this paper with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and this paper is addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231.

  
Joseph J. Kolodka, Attorney  
Registration No. 39,731

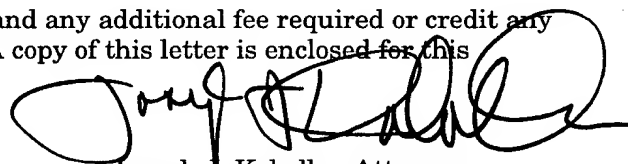
LETTER OF TRANSMITTAL AND APPLICATION FOR  
GRANT OF LETTERS PATENT PURSUANT TO 37 CFR 1.53

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Application is hereby made this 21st day of June, 2000, in the name of and on behalf of Scott Joseph Duggan and Darin Bradley Ritter for grant of Letters Patent for the invention entitled SPHERICAL MOUNTING SYSTEM FOR THREE AXIS ADJUSTMENT OF A LIGHT PROJECTOR ASSEMBLY IN A PROJECTION TELEVISION which is claimed in the application attached hereto and identified with attorney docket number RCA 90,038.

Please charge the filing fee and any additional fee required or credit any overpayment to Deposit Account 07-0832. A copy of this letter is enclosed for this purpose.

  
Joseph J. Kolodka, Attorney  
Reg. No. 39,731  
PHONE: 609-734-9744

PLEASE ADDRESS ALL COMMUNICATIONS TO:

Joseph S. Tripoli  
Patent Operation  
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JJK/kms